Chromatic Correlation Clustering and the Pivot

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Helsinki 2021

Definition

CORRELATIONCLUSTERING

- lnput: graph G = (V, E).
- ▶ Solution: clustering $c: V \to \mathbb{N}$.
- Objective: minimize the number of disagreements:

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•
$$uv \notin E \wedge c(u) = c(v)$$
,

• $uv \in E \wedge c(u) \neq c(v)$.



Credit: Aris

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a pivot is selected uniformly at random

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a cluster is formed with the pivot and all its neighbors

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a new pivot is selected from the remaining of the graph vertices

Credit: Aris



a second cluster is formed with the pivot and all its neighbors

Credit: Aris



and the process continues

Credit: Aris



... until the whole graph is consumed.

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Correlation clustering — the KWIKCLUSTER (or PIVOT) algorithm

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KWIKCLUSTER(G = (V, E^+, E^-))
```

If $V = \emptyset$ then return \emptyset Pick random pivot $i \in V$. Set $C = \{i\}, V' = \emptyset$.

For all
$$j \in V, j \neq i$$
:
If $(i, j) \in E^+$ then
Add j to C
Else (If $(i, j) \in E^-$)
Add j to V'

Let G' be the subgraph induced by V'.

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Return C \cup \text{KWIKCLUSTER}(G') .
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 The PIVOT algorithm (Ailon et al., 2005)

- + An elegant randomized algorithm
- + Approximation ratio 3
- + Running time $\mathcal{O}(m)$
- It assumes a complete graph
- It assumes an unweighted graph

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Otherwise...

Lemma

Suppose that for each $t \in T$ we define $\beta_t \ge 0$ s.t. for every $e \in E$, $\sum_{t:e \in t} \beta_t \le 1$. Then

$$OPT \ge \sum_{t \in T} \beta_t.$$



Analysis of **PIVOT** for CORRELATIONCLUSTERING

If $\forall e \in E$, $\sum_{t:e \in t} \beta_t \leq 1$, then $OPT \geq \sum_{t \in T} \beta_t$.

- For $t \in T$, define A_t : event that a vertex of t is the pivot and t is in the recursive call.
- $\blacktriangleright \mathbb{E}\left[cost_{\text{PIVOT}}\right] = \sum_{t \in \mathcal{T}} \mathbb{P}\left[A_t\right].$
- \triangleright B_e : event that *e* is a mistake.
- $\blacktriangleright \mathbb{P}[B_e \cap A_t] = \mathbb{P}[B_e | A_t] \mathbb{P}[A_t] = \frac{1}{3} \mathbb{P}[A_t].$
- For t, t' s.t. $e \in t \cap t'$, $\mathbb{P}[(B_e \cap A_t) \cap (B_e \cap A_{t'})] = 0$. Therefore, $\sum_{t:e \in t} \frac{1}{3}\mathbb{P}[A_t] \leq 1$.

So

$$OPT \geq \sum_{t \in T} \frac{1}{3} \mathbb{P}[A_t] = \frac{\mathbb{E}[cost_{\text{PIVOT}}]}{3}$$

Definition

CHROMATICCORRELATIONCLUSTERING

▶ Instance: edge-colored graph $G = (V, E, \ell), \ \ell : E \to L \subset \mathbb{N}.$

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Solution:

$$c: V \to \mathbb{N}, \\ \lambda: im(c) \to l$$

Objective: minimize the number of disagreements:

•
$$uv \notin E \wedge c(u) = c(v)$$
,

•
$$uv \in E \land c(u) \neq c(v)$$

•
$$uv \in E \land c(u) = c(v) \land \ell(uv) \neq \lambda(c(u)).$$

Approximation algorithms for CHROMATICCORRELATIONCLUSTERING.

| Method | Factor | Work |
|--------------------|--------|----------------------|
| CHROMATICBALLS | 6Δ | Bonchi et al. (2015) |
| REDUCE-AND-CLUSTER | 11 | Anava et al. (2015) |
| LP | 4 | Anava et al. (2015) |
| Pivot | 3 | Klodt et al. (2021) |

Chromatic Correlation Clustering

"Judge a vertex not for the color of its edge, but for the content of its entries in the adjacency matrix".

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Algorithm 1: Pivot

Data: An undirected, edge-colored Graph G = (V, E, col)**Result:** A clustering $C = \{(C_1, c_1), \dots, (C_m, c_m)\}$ with $C_i \subseteq V$ and $c_i \in \mathbb{N}$. 1 Pick a random pivot $v \in V$ as cluster-center; 2 $C \leftarrow \{v\};$ 3 for $u \in N(v)$ do 4 | $C \leftarrow C \cup \{u\}$; 5 $c \leftarrow argmax_{c \in Colors} | \{ab \in E \cap C^2 \mid col(ab) = c\} |;$ 6 return $\{(C, c)\} \cup Pivot(G[V \setminus C]);$

Analysis by Klodt et al. (2021).

Consider three solutions:

opt = (C*, λ*),
 S = (C, λ) (output of PIVOT),
 S' = (C, λ') (setting λ'(C_i) = λ*(p_i)).
 Note that d(S) ≤ d(S').

Definition

Critical iteration of $ab \in \binom{V}{2}$: iteration in which at least the first of a, b becomes clustered.

Let ab be a disagreement. Let c be the pivot in the critical iteration of a (first of a, b w.l.o.g).

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We will charge B_{ab} \in \{ab, ac, bc\} such that B_{ab} \in D(opt).
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Three cases:

- 1. ab is a non-edge within a cluster of S';
- 2. ab is an edge between clusters of S';
- 3. If ab is an edge in a cluster of S' but does not have the color of its cluster.

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Case 1: ab is a non-edge within a cluster of S'; Note that ac and bc are both edges.

- 1.1 If a and b are in the same cluster in opt then $ab \in D(opt)$. We charge $B_{ab} := ab$.
- 1.2 If a and b are in separate clusters in opt: then either ac or bc is an edge between clusters in opt and so in D(opt). We charge $B_{ab} := ac$ if $ac \in D(opt)$, and $B_{ab} := bc$ otherwise.



Analysis of **PIVOT** for CHROMATICCORRELATIONCLUSTERING

Three cases:

- ab is a non-edge within a cluster of S';
- ab is an edge between clusters of S';
- If *ab* is an edge in a cluster of *S'* but does not have the color of its cluster.



Figure 1: Charging cases in the proof of Theorem 2.1. Gray: Cluster of *Sol'* during critical iteration of *ab*. Blue: Cluster of *Opt*. Red: Charged edge. Note that slight variants of these examples are possible. For each $e \in d(opt)$, $M_e \subset d(S')$ is the set of disagreements charged to e.

Let $uv \in d(opt)$, $S = N(u) \cup N(v) \cup \{u, v\}$. The pivot at the c.i. of uv is a vertex of S, each with prob. 1/|S|. Three cases:

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- 1. *uv* is a non-edge in a cluster of *opt*.
- 2. *uv* is an edge between clusters of *opt*.
- 3. *uv* is an edge in a cluster of *opt* with the wrong color.

Case 1: uv is a non-edge in a cluster of opt.

1.1 Charged only for itself when $p \in N(u) \cap N(v)$

2.1.1 *p* is *u* or *v*.

Thus,

$$\mathbb{E}\left[M_{uv}\right] = \frac{|N(u) \cap N(v)|}{|S|} + \frac{2|N(u) \cap N(v)|}{|S|} < 3.$$



Similarly, all three cases yield an expected error of at most 3.

Theorem

The color-blind **PIVOT** *yields*

 $3OPT \geq \mathbb{E}\left[cost_{PIVOT}\right]$.

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Thanks!



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